

Pleasure Yacht & Large Commercial Yacht Compliance Matrix

	Certificate		Plea	asure Yac	hts		Lar	ge Comm	ercial Yad	chts		
			<24m	≥2	4m			≥2	4m	1		
Convention		Certifying Authority	<400 GT	<400 GT	≥400 GT	≥100 GT	<300 GT	≥300 GT	≥400 GT	≥500 GT	≥1000GT	Comments
COLREGS (1972)	ColRegs (1972)		Х	Х	Х	Х	Х	Х	Х	Х	Х	
International Tonnage	Certificate of Survey & Tonnage	VISR or RO	Х									
Convention (1969)	International Tonnage Certificate	RO		Х	Х	Х	Х	Х	Х	Х	Х	
International Load Line Convention (1966)	International Load Line Certificate	RO		1, 2	1, 2	Х	Х	х	Х	Х	Х	 Recommended Yacht may voluntarily comply and request that an RO issue Statement of Voluntary Compliance Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
	Form LL-11-D- Survey of Load Lines	RO		1, 2	1, 2	Х	Х	х	Х	Х	Х	 Recommended Yacht may voluntarily comply and request that an RO issue Statements of Voluntary Compliance
	Annex I – Oil Pollution		Х	х	Х	Х	Х	х	Х	х	Х	Apples to ALL vessels, certification for yachts as required below
	International Oil Pollution Prevention Certificate	RO			Х				Х	х	х	Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
	IOPP Form A	RO			Х				Х	х	х	Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
	Approved Shipboard Oil Pollution Emergency Plan (SOPEP)	VISR			Х				Х	х	Х	
MARPOL	Oil Record Book		X (3)	X (3)	Х	X (3)	X (3)	X (3)	Х	х	Х	 Yachts <400GT shall maintain a similar oil record book
	Annex IV – Sewage		4	4	Х	4	4	4	Х	х	Х	4- Applies to Yachts ≥400GT or certified to carry more than 15 persons, regardless of tonnage
	International Sewage Pollution Prevention Certificate	RO	4	4	Х	4	4	4	х	х	х	Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
	Annex V – Garbage		Х	Х	Х	Х	Х	Х	Х	Х	Х	
	Garbage Record Books		5	5	Х	5	5	5	Х	Х	Х	 Applies to Yachts ≥400GT or certified to carry more than 15 persons, regardless of tonnage

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	Garbage Management Plan		Х	Х	Х	Х	Х	х	Х	Х	х	6- Applies to Yachts ≥100GT or certified to carry more than 15 persons, regardless of tonnage
	Annex VI – Air Pollution		Х	Х	Х	Х	Х	Х	Х	Х	Х	
	International Air Pollution Prevention Certificate	RO			Х				х	х	х	Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
	Supplement				Х				Х	Х	Х	
	Engine International Air Pollution Certificate	RO	7	7	х	7	7	7	х	х	х	7- For engines installed on ships constructed on or after 1 January 2000; applies to each marine diesel engine with a power output of more than 130kW
	Engine Technical Files		7	7	x	7	7	7	х	x	х	7- For engines installed on ships constructed on or after 1 January 2000; applies to each marine diesel engine with a power output of more than 130kW
	Ozone Record Book				X (8)				X (8)	X (8)	X (8)	8- Only required if there are rechargeable systems containing Ozone Depleting Substances (ODS)
	Shipboard Energy Efficiency Management Plan (SEEMP)				Х				Х	х	х	
	Chapter I - General									Х	Х	
	Chapter II - Construction		2	2	2	2	2	2	2	х	Х	2- Yacht may voluntarily comply and request that RO issue Statement of Voluntary Compliance
	Cargo Ship Safety Construction Certificate	RO								х	х	Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
SOLAS 74/78	Chapter III Life Saving					2	2	2	2	х	Х	2- Yacht may voluntarily comply and request that RO issue Statement of Voluntary Compliance
	Cargo Ship Safety Equipment Certificate	RO								х	х	Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
	Supplement Form E	RO								х	х	Short Term Certificate to be issued by RO Full Term Certificate to be issued by VISR
	Chapter IV - Radio					2	2	х	х	х	х	2- Yacht may voluntarily comply and request that RO issue Statement of Voluntary Compliance



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	Cargo Ship Safety Radio Certificate	RO						Х	Х	Х	Х	
	Supplement Form R	RO						Х	Х	Х	Х	
	Chapter V - Navigation											
	Regulation 14 (Minimum Safe Manning Certificate)	VISR	1	1	1	Х	Х	Х	Х	Х	Х	1- Recommended
	Regulation 19-1 (LRIT)							Х	Х	Х	Х	
	Regulation 19.2.4 (AIS)		8	Х	Х			Х	Х	Х	Х	8- Only Yachts ≥300GT required to comply
	Chapter IX – ISM		2	2	2	9	9	9	9	х	х	 2- Yacht may voluntarily comply and request that an RO issue Statements of Voluntary Compliance 9- Commercial Yachts <500GT must have a Mini- ISM approved by VISR
	Document of Compliance	VISR								Х	Х	
	Safety Management Certificate	VISR								Х	Х	
	Chapter XI-1 – Maritime Safety									Х	Х	
	Continuous Synopsis Record	VISR								Х	Х	
	Chapter XI-2 Maritime Security (ISPS)		10	10	10			11	11	х	х	 Yacht may voluntarily comply and request VISR to issue Statements of Voluntary Compliance It is highly recommended that Yachts ≥300GT but <500 GT voluntarily comply
	International Ship Security Certificate	VISR								Х	Х	
	Ship Security Plan	VISR								Х	Х	
STCW	Crew Certification (Discharge Book & CoC)	VISR	1	1	1	Х	Х	Х	Х	Х	Х	1- Recommended
MLC 2006	DMLC Part I & II	VISR	1	1	1	1	1	1	1	Х	Х	1- Recommended
MLC, 2006	Maritime Labour Convention Certificate	VISR	1	1	1	1	1	1	1	Х	Х	1- Recommended
Civil Liability Bunker Convention	Civil Liability Certificate for Bunker Oil Pollution Damage	VISR			12						х	12- Applies if >1000GT
Anti Fouling	International Anti-Fouling System Certificate	RO			Х				Х	Х	Х	
Convention	Record of Anti-Fouling System	RO			Х				Х	Х	Х	

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	Anti-Fouling Declaration		1	х		13	13	13				 Recommended Applies if ≥24m in length but less than 400GT and engaged in international voyage.
Large Yacht Code	Certificate of Compliance	VISR				Х	Х	Х	х	х	Х	Full Term certificate to be issued <u>upon receipt of</u> <u>Statement of Compliance from Class for delegated</u> <u>sections of the LCY Code.</u>
5	Exemption Certificate(s)	VISR				Х	Х	Х	Х	Х	Х	Covers exemption from the regulations relative to the subject